



Attorney Docket No. 1247 P 160
7117630

PATENT
part of 3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of:)	
)	
STEVEN E. SCHULTZ)	
)	Examiner: (Not Assigned)
Application No.: 09/729,996)	
Confirmation No. 6877)	Art Unit: 3627
)	
Filed: December 4, 2000)	
)	
For: TILT-LATCH FOR A SASH WINDOW)	

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION - FILING DATE GRANTED**

BOX MISSING PARTS
Commissioner for Patents
Washington, DC 20231

Dear Sir:

Pursuant to the enclosed "Notice To File Missing Parts Of Nonprovisional Application -
Filing Date Granted" dated January 26, 2001, enclosed are the following:

- Copy of Notice to File Missing Parts of Nonprovisoinal Application - Filing Date Granted (Part 2 - Copy to be Returned with Response)
- Executed Declaration and Power of Attorney
- Check in the amount of \$1,456.00 to cover the filing fee and the surcharge for filing the Declaration and Power of Attorney (large entity)



Application No. 09/729,996
Response to Notice to File Missing Parts
of Nonprovisional Application
Page 2

Please charge any additional fees to Deposit Account No. 23-0280. A duplicate copy of this
Response is enclosed for that purpose.

Respectfully submitted,

Date: March 9, 2001

By: Paul J. Nykaza

Paul J. Nykaza, Registration No. 38,984
WALLENSTEIN & WAGNER, LTD.
311 South Wacker Drive, 53rd Floor
Chicago, Illinois 60606-6630
312-554-3300
Attorneys for Applicants

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH
THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN A POSTAGE-
PAID ENVELOPE ADDRESSED TO BOX MISSING PARTS,
COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231,
ON MARCH 9, 2001.

Julie M. Weisenberger.



UNITED STATES PATENT AND TRADEMARK OFFICE



26961

PATENT TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/729,996	12/04/2000	Steven E. Schultz	1247 P 160

CONFIRMATION NO. 6877

FORMALITIES LETTER



OC00000005711598

PAUL J. NYKAZA, ESQ.
WALLENSTEIN & WAGNER, LTD.
53RD FLOOR
311 SOUTH WACKER DRIVE
CHICAGO, IL 60606-6630

Date Mailed: 01/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

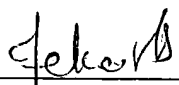
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$616.
 - \$216 for 12 total claims over 20.
 - \$400 for 5 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1456.

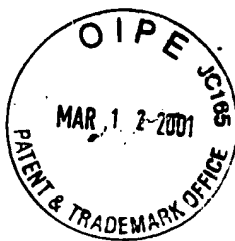
A copy of this notice MUST be returned with the reply.


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/14/2001 SSESHE1 00000021 09729996
01 FC:101
02 FC:102
03 FC:103
04 FC:105
710.00 00
400.00 00
216.00 00
130.00 00



Attorney Docket No. 1247 P 160
/117656



PATENT

Part of paper
3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of:

STEVEN E. SCHULTZ

Application No.: 09/729,996

Confirmation No. 6877

Filed: December 4, 2000

For: TILT-LATCH FOR A SASH WINDOW

Examiner: (Not Assigned)

Art Unit: 3627

REQUEST FOR CORRECTED FILING RECEIPT

BOX MISSING PARTS
Commissioner for Patents
Washington, DC 20231

Dear Commissioner:

The enclosed Filing Receipt does not list the residence of the Applicant. As per the Declaration submitted with the Response to Notice to File Missing Parts of Nonprovisional Application filed simultaneously with this Request, please add the residence for Steven E. Schultz, as -- Rockford, Illinois -- and issue a corrected Filing Receipt.

Respectfully submitted,

Date: March 9, 2001

By:

Paul J. Nykaza
Paul J. Nykaza, Registration No. 38,984
WALLENSTEIN & WAGNER, LTD.
311 South Wacker Drive, 53rd Floor
Chicago, Illinois 60606-6630
312-554-3300
Attorneys for Applicant

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH
THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN A POSTAGE-
PAID ENVELOPE ADDRESSED TO BOX MISSING PARTS,
COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231, ON
MARCH 9, 2001.

Julie M. Weisenberger



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/729,996	12/04/2000	3627	0.00	1247 P 160	11	32	8

CONFIRMATION NO. 6877

FILING RECEIPT



OC000000005711597

PAUL J. NYKAZA, ESQ.
WALLENSTEIN & WAGNER, LTD.
53RD FLOOR
311 SOUTH WACKER DRIVE
CHICAGO, IL 60606-6630

Date Mailed: 01/26/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Steven E. Schultz, Residence Not Provided;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/121,289 07/22/1998 PAT 6,155,615

Foreign Applications

If Required, Foreign Filing License Granted 01/24/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

Tilt-latch for a sash window

TO DOCKETING

RECEIVED

FEB 07 2001

Preliminary Class

[WALLENSTEIN & WAGNER]



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/729,996	12/04/2000	3627	1456	1247 P 160	11	32	8

CONFIRMATION NO. 6877
CORRECTED FILING
RECEIPT



OC000000006365566

Paul J. Nykaza, Esq.
Wallenstein & Wagner, Ltd.
53rd Floor
311 South Wacker Drive
Chicago, IL 60606-6630

Date Mailed: 07/31/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant
(s)

Steven E. Schultz, Rockford, IL;

Assignment For Published Patent Application

WALLENSTEIN & WAGNER LTD;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/121,289 07/22/1998 PAT
6,155,615

Foreign Applications

If Required, Foreign Filing License Granted 01/24/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

Tilt-latch for a sash window

Preliminary Class

292

Data entry by : CALLIHAM,
SONYA

Team : 3600

Date: 07/31/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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- The articles such as "**a**," "**an**" and "**the**" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "**new**," "**improved**," "**improvements in**" or "**relating to**" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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Office of Initial Patent Examination
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